IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Igor Y. Botvinnik et al. New Application Appl. No.:

Filed: March 2, 2004

Title: Electro-Kinetic Air Transporter and Conditioner

Devices Including Pin-Ring Electrode Configurations with Driver Electrode

PATENT APPLICATION

New Application Art Unit Examiner: New Applicati

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. 81.8

by certify that this correspondence is being deposited in the United States Postal Service postage as first class mail in an envelope addressed to Commissioner for Patents, O Box VA 22313-1450, on March 2, 2004 .

(Attorney Signature)

Jeffrey R. Kurin, Rog. No. 41,132 Signature Date: March 2, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir-

It is requested that the information identified by this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information ofted in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56 If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP \$609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and eturn it to the undersigned in _X_ accordance with MPEP §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Х Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/ idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R §1.98(a)(2), as still required.
- The present application was filed prior to June 30, 2003. A copy of each cited document required by 37 C.F.R. §1.98 is enclosed.

statement should be considered because:

- 37 CFR §1.97(b). This statement qualifies under 37 CFR §1.97, subsection (b) because:
 - It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. IRK/SHPR=01414LIS2/IDS doc Page 1 of 9 -- OR --

- (2) It is being filed within 3 months of entry of a national stage;
 -- OR (3) It is being filed before the mailing date of the first Office Action on the merits,
 -- OR (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.
- 37 CFR §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 CFR §1.97, <u>subsection (c)</u> because:
 - It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ___ (1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e).
 -- OR --
 - (2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).
- 37 CFR §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 CFR §1.97, <u>subsection (d)</u> because:
 - (1) It is being filed on or before payment of the issue fee;
 -- AND --
 - (2) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e); -- AND --
 - (3) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).
- X Fee Authorization. The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

By: Infficient Reg. No. 41,132

Date: March 2, 2004

Customer No. 23910 Fliesler Meyer LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 415/362-3800 Telephone

FORM PTO-1449

(Substitute)

US DEPARTMENT OF COMMERCE

Attorney Docket Number SHPR-01414US2 PATENT AND TRADEMARK OFFICE Applicant

Serial No. New Application

INFORMATION DISCLOSURE CITATION BY APPLICANT

Igor Y. Botvinnik et al.

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Attorney Docket Number SHPR-01414US2

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					Petition 1	o Expunge
Examiner Initial		Application Number	Filing Date	Inventor	Yes	No
	1.	10/278,193	10/21/2002	Reeves et al.		х
	2.	10/405,193	4/1/2003	Lee et al.		Х

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FORM PTO-1449 Serial No. US DEPARTMENT OF COMMERCE Attorney Docket Number (Substitute) PATENT AND TRADEMARK OFFICE SHPR-01414US2 New Application Applicant Igor Y. Botvinnik et al. INFORMATION DISCLOSURE CITATION BY APPLICANT Group Art Unit Filing Date New Application March 2, 204 WO01/85348 A2 11/15/2001 International WO02/20162 A2 3/14/2002 International WO02/20163 A2 3/14/2002 International WO02/30574 A1 4/18/2002 International 10 4/25/2002 International 11. WO02/32578 A1 5/30/2002 International 12. WO02/42003 A1 13. WO02/066167 A1 8/29/2002 International 14 WO03/009944 A1 2/6/2003 International 15 WO03/013620 A1 2/20/2003 International 16 EP 0433152 A1 12/10/1990 Europe 17 CN 2111112 IJ 7/29/1972 China 18 FR 2690509 10/29/1993 France 19. IP 10137007 5/26/1998 Japan 20 IP 11104223 4/20/1999 Japan b1. JP 2000236914 9/5/2000 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) "Zenion Elf Device," drawing prior art. Electrical schematic and promotional material available from Zenion Industries, 7 pages, August 1990. 23. 24. Promotional material available from Zenion Industries for the Plasma-Pure 100/200/300, 2 pages, August 1990. 25. Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990. 26 LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages.

EXAMINER: Initial if references considered, whether or not cliation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

01/29/2008

NonAsteriskedItems: Copies not submitted because they were submitted in prior application ______, filed ______, and relied upon under 35 USC §120.

EXAMINER

/Kishor Mayekar/